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02/18/00
jc678 U.S. PTO

PATENT

Docket No. 3553-4020US3

Express Mail Label No. EJ606954673US

jc525 U.S. PTO
09/507262
02/18/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY APPLICATION AND APPLICATION FEE TRANSMITTAL (1.53(b))

ASSISTANT COMMISSIONER FOR PATENTS
Box Patent Application
Washington, D.C. 20231

Handwritten initials

Sir:

Transmitted herewith for filing is the patent application of

Named Inventor(s) and
Address(es):

Jay S. Walker, 124 Spectacle Lane, Ridgefield, Connecticut 06877

T. Scott Case, 29 Anthony Lane, Darien, Connecticut 06820

James A. Jorasch, 25 Forest Street, Apt. 5G, Stamford, CT 06901

Bruce Schneier, 101 E. Minnehaha parkway, Minneapolis, MN 55419

For:

CONDITIONAL PURCHASE OFFER MANAGEMENT SYSTEM

Enclosed are:

[X] 29 page(s) of specification, 1 page(s) of Abstract, 17 page(s) of claims

[X] 27 sheets of drawing (Figs. 1-19) [X] formal [] informal

[X] 3 page(s) of Declaration and Power of Attorney

[] Unsigned

[] Newly Executed

[X] Copy from prior application

[X] Copy of Associate Power of Attorney

[] Deletion of inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2)

[] Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the combined declaration and power of attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.

[] Microfiche Computer Program (Appendix)

[] _____ page(s) of Sequence Listing

- ☐ computer readable disk containing Sequence Listing
- ☐ Statement under 37 C.F.R. § 1.821(f) that computer and paper copies of the Sequence Listing are the same
- ☐ Claim for Priority
- ☐ Certified copy of Priority Document(s)
 - ☐ English translation documents
- ☒ Information Disclosure Statement
 - ☐ Copy of ____ cited references
 - ☒ Copy of PTO-1449 filed in parent application serial No. 09/443,158.
- ☐ Preliminary Amendment
- ☒ Return receipt postcard (MPEP 503)
- ☒ Assignment Papers (assignment cover sheet and assignment documents)
 - ☒ Assignment papers filed in parent application Serial No. 08/889,319.
 - ☐ Certification of chain of title pursuant to 37 C.F.R. § 3.73(b).
- ☒ This application is a continuation of prior US patent application serial no. 09/443,158, filed November 18, 1999 which is a continuation of prior US patent application serial no. 08/889,319, filed July 7, 1997 which is a continuation-in-part (C-I-P) of prior U.S. Patent Application Serial No. 08/707,660, filed September 4, 1996, now issued US Patent No. 5,794,207, each of which is incorporated in its entirety by reference herein.
 - ☒ Cancel in this application original claims 1-97 of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
 - ☒ A preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application.
- ☒ The status of the parent application is as follows:
 - ☐ A Petition For Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until _____.
 - ☐ A copy of the Petition for Extension of Time in the co-pending parent application is attached.
 - ☒ No Petition For Extension of Time and Fee therefor are necessary in the co-pending parent application.
- ☐ Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.
 - ☐ Transfer the drawing(s) from the patent application to this application.

- ☒ Amend the specification by inserting before the first line the sentence:
This is a ☒ continuation ☐ divisional ☐ continuation-in-part of co-pending application Serial No. 09/443,158, filed November 18, 1999 which is a continuation application of serial no. 08/889,319, filed July 8, 1997 which is continuation-in-part of application serial no. 08/707,660, filed September 4, 1996, now US Patent No.5,794,207.

I. CALCULATION OF APPLICATION FEE (For Other Than A Small Entity)

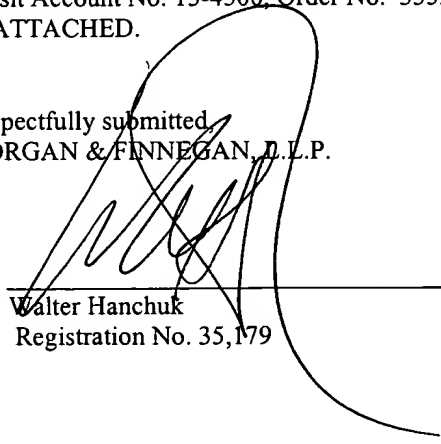
	Number Filed		Number Extra	Rate	Basic Fee
Total Claims	84	-20=	64	x\$18.00	\$1152.00
Independent Claims	6	- 3=	3	X\$78.00	\$234.00
Multiple Dependent Claims					
	<input type="checkbox"/> yes		Additional Fee =	\$260.00	\$0
	<input checked="" type="checkbox"/> no		Add'l Fee =	NONE	

Total: \$ 2076.00

- ☐ A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. § 1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. § 1.9(F) (50% of total) paid herewith \$_____.
- ☒ Please direct all correspondence to
- Walter G. Hanchuk
Morgan & Finnegan LLP
345 Park Avenue
New York, NY 10154
Phone: 212-758-4800
Fax: 212-751-6849
- ☐ A check in the amount of \$ 0.00 in payment of the application filing fees is attached.
- ☒ Charge Fee(s) to Deposit Account No. 13-4500. Order No. 3553-4020US3. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☒ The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4500. Order No. 3553-4020US3. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted
MORGAN & FINNEGAN, L.L.P.

Dated: February 18, 2000

By: 
Walter Hanchuk
Registration No. 35,179

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FORM: UTL-TRAN.NY
Rev. 11/13/98

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Jay S. Walker et al. Prior Appln. Serial No. 09/443,158
Serial No : TBA Group Art Unit: 2768
Examiner: TBA
Filed : February 18, 2000
For : **CONDITIONAL PURCHASE OFFER MANAGEMENT SYSTEM**

EXPRESS MAIL CERTIFICATE

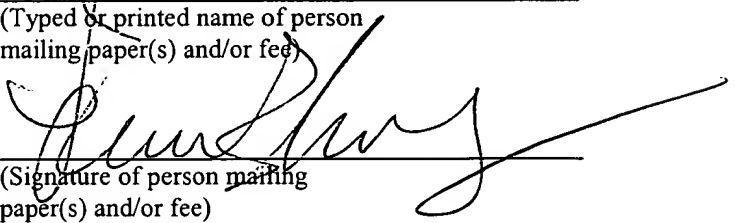
Express Mail Label No. EJ606954673US

Date of Deposit February 18, 2000

I hereby certify that the following attached paper(s) and/or fee Utility Application and Fee Transmittal
(enclosing 29 pages of specification, 1 page of abstract, 17 pages of claims and 27 sheets of formal drawings (Figs
1-19)) (two copies); a copy of the Executed Declaration and Power of Attorney (3pages); a copy of Associate Power
of Attorney; copy of PTO Forms-1449; Information Disclosure Statement(two copies); Preliminary Amendment;
Return Postcard is being deposited with the United States Postal Service "Express Mail Post Office to Addressee"
service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner for
Patents, Washington, D.C. 20231.

Vivian Zhang

(Typed or printed name of person
mailing paper(s) and/or fee)


(Signature of person mailing
paper(s) and/or fee)

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FORM: EXP-MAIL.NY
Rev. 05/27/98